

# Search for Flavor Changing Neutral Currents and Lepton Flavor Violating Decays $D^0 \rightarrow \bar{l}l$ ( $l=e,\mu$ )

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# Introduction

- Flavor Changing Neutral Currents (FCNC) are forbidden at tree level in the SM for Charm decays  $\Rightarrow$  small branching fraction values

e.g. for  $D^0 \rightarrow \mu^+ \mu^-$   $\text{Br} \sim 1 \times 10^{-13}$  (SM)

- Lepton Flavor Violation (LFV) is forbidden in the SM
- **Charm Rare decay search: sensitive to new physics beyond the SM**
- New Physics can enhance FCNC contributions by a few orders of magnitude, LFV is allowed in New Physics

{ Burdman et al. PRD 66, 014009 (2002) }

e.g. for  $D^0 \rightarrow \mu^+ \mu^-$   $\text{Br} \sim 1 \times 10^{-6}$  ( $R$ -parity SUSY)



# Introduction

## Present experimental and theoretical status

Decay mode	SM	$\mathcal{R}$ -SUSY	Present Exp. limit
$D^0 \rightarrow e^+e^-$	$10^{-23}$	$1.0 \times 10^{-10}$	$6.2 \times 10^{-6}$ (90% CL)
$D^0 \rightarrow \mu^+\mu^-$	$3.0 \times 10^{-13}$	$3.5 \times 10^{-6}$	$2.0 \times 10^{-6}$ (90% CL)
$D^0 \rightarrow e^+\mu^- + \text{c.c.}$	0	$1.0 \times 10^{-6}$	$8.1 \times 10^{-6}$ (90% CL)

BaBar is not only a **B-factory** but also a **charm** factory:

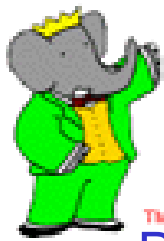
Charm meson produced in fragmentation:  $e^+e^- \rightarrow c\bar{c}$

Cross section is 1.3nb compared to 1.1nb for  $e^+e^- \rightarrow B\bar{B}$

**Goal: search for Rare charm decays:**

**FCNC decays:  $D^0 \rightarrow e^+e^-$ ,  $D^0 \rightarrow \mu^+\mu^-$**

**LFV decays:  $D^0 \rightarrow e^+\mu^- + \text{c.c.}$**



# Analysis Approach

## Data:

Use  $122 \text{ fb}^{-1} D^{*+} \rightarrow D^0 \pi^+$  tagged sample with  $p^*(D^0) \geq 2.4 \text{ GeV}/c$

$p^*(D^0)$ :  $D^0$  momentum in the  $e^+e^-$  center of mass frame

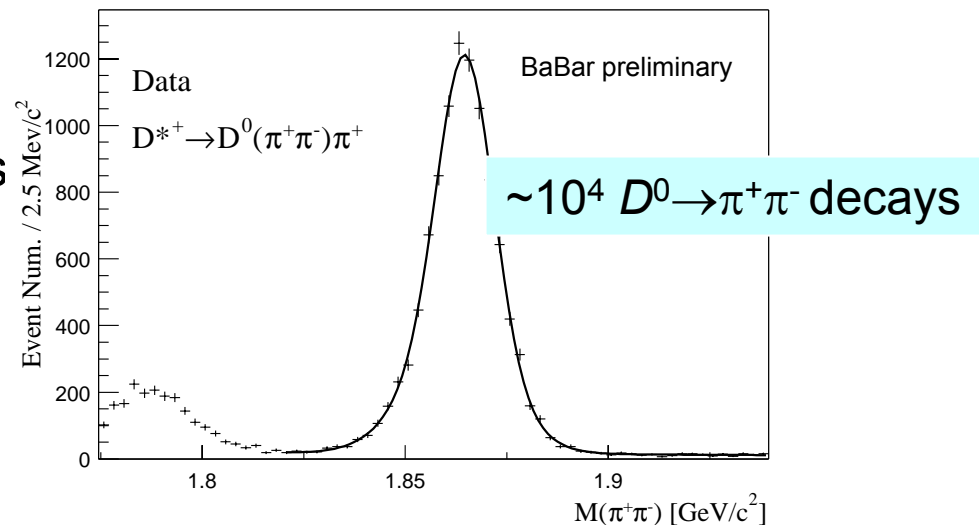
$p^*(D^0)$  requirement rejects combinatoric background from B decay

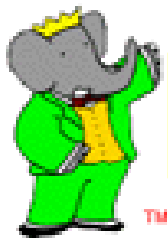
**Blind analysis approach:** counting experiment

The  $D^0$  signal mass window is blinded until we determine the final event selection criteria and background estimate

Branching fractions normalized to  $D^0 \rightarrow \pi^+ \pi^-$  due to similar kinematics

Identical selection except PID

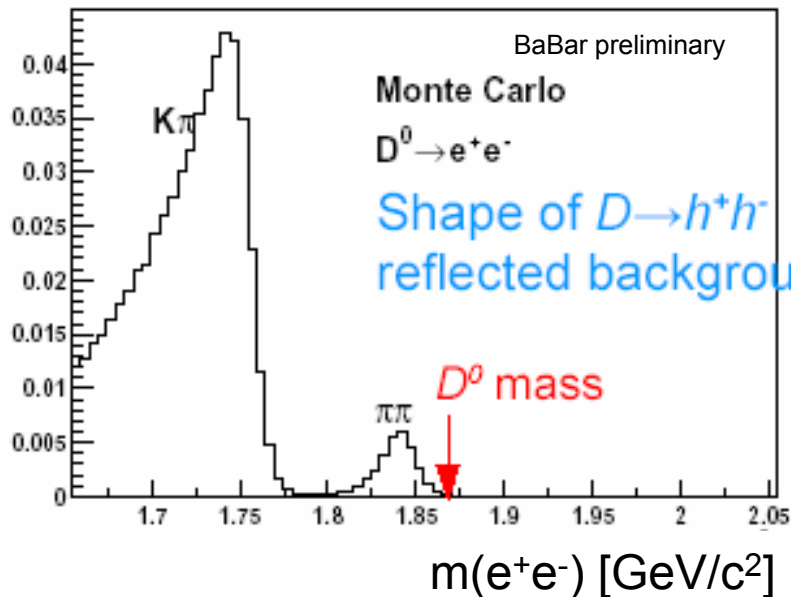




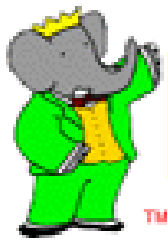
# Reflection Background

Main challenge of this analysis is to reject combinatoric background and background from hadronic  $D^0$  decays.

Reflection background from  $D^0 \rightarrow h^+h^-$  ( $h=K,\pi$ ):  
Both hadrons are mis-identified as leptons



The dominant reflection background is from  $D^0 \rightarrow \pi^+\pi^-$



# Background Estimate

## Particle Identification:

95% efficiency per electron, 0.2% pion mis-identification rate.  
60% efficiency per muon, 2% pion mis-identification rate.

## Determination of the reflection background:

MC and measured particle mis-identification rate.

Use the measured number of  $D^0 \rightarrow \pi^+ \pi^-$  decays as normalization.

The reflection backgrounds are small for  $e^+e^-$  and  $e^+\mu^-$  modes.

## Combinatoric background:

Dominated by random lepton pairs.

Study shape using MC and data.

Normalize the distribution to the number of events in the dilepton mass sidebands observed in the data.



# Optimization of the Selection Criteria

Optimize the selection criteria (reduce background)

Discriminating variables:

dm: Mass difference between the  $D^0$  and  $D^{*+}$  candidate

ct: proper flight distance of the  $D^0$  meson,

$m(D^0)$ : signal mass window for  $D^0 \rightarrow \pi\pi$

Maximize the experimental sensitivity: Feldman and Cousins: PRD 57,3873 (1998)

Assume no signal, optimize  $\varepsilon/N_{\text{sens}}$

$\varepsilon$ : signal efficiency;

$N_{\text{sens}}$ : mean upper limit expected for a given background

Predict the expected background as a function of cut values

$$N_{bg} = N_{SB} \times R_{mass} \times R_{dm} \times R_{ct}$$

$N_{SB}$ : number of events in side bands (loose cuts)

R: cut rejection factors, no correlation between mass, dm and ct

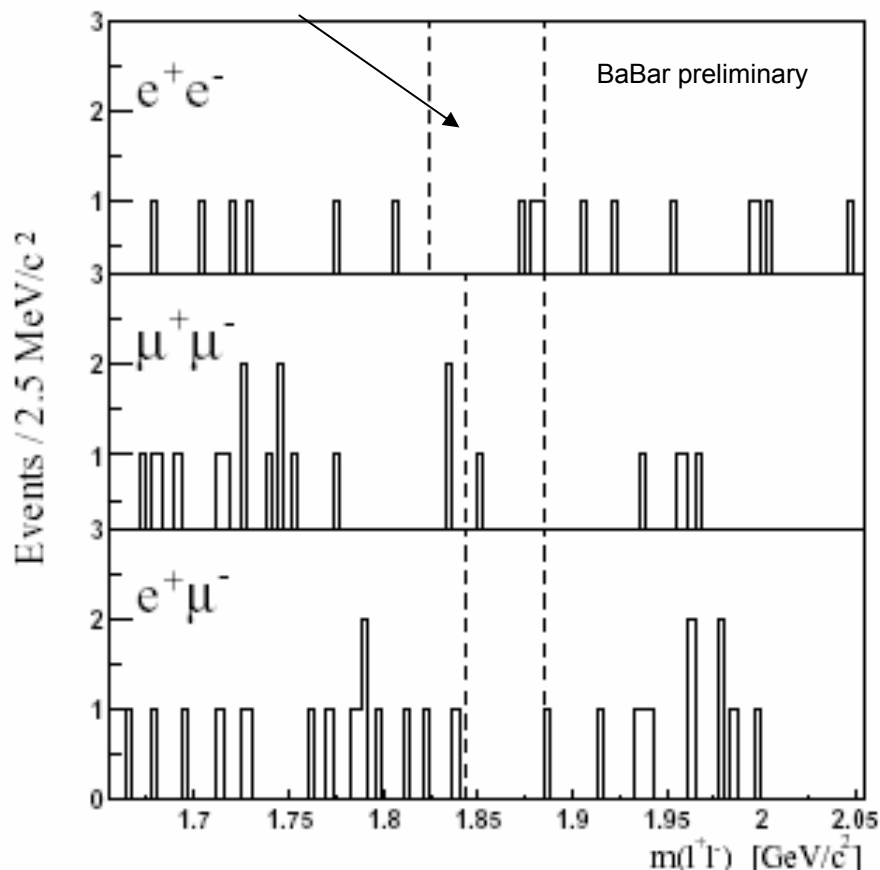
Estimate the cut rejection factors independently from MC and control data



# Results

$$\mathcal{B}_{90\%CL}(D^0 \rightarrow ll) = Br(D^0 \rightarrow \pi\pi) \times \frac{N_{90\%CL}^{upper}}{N(\pi\pi)} \times \frac{\epsilon(\pi\pi)}{\epsilon(ll)}$$

Signal mass window

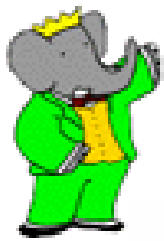


**Systematic uncertainties:**

particle identification efficiency,  
PID fake rate

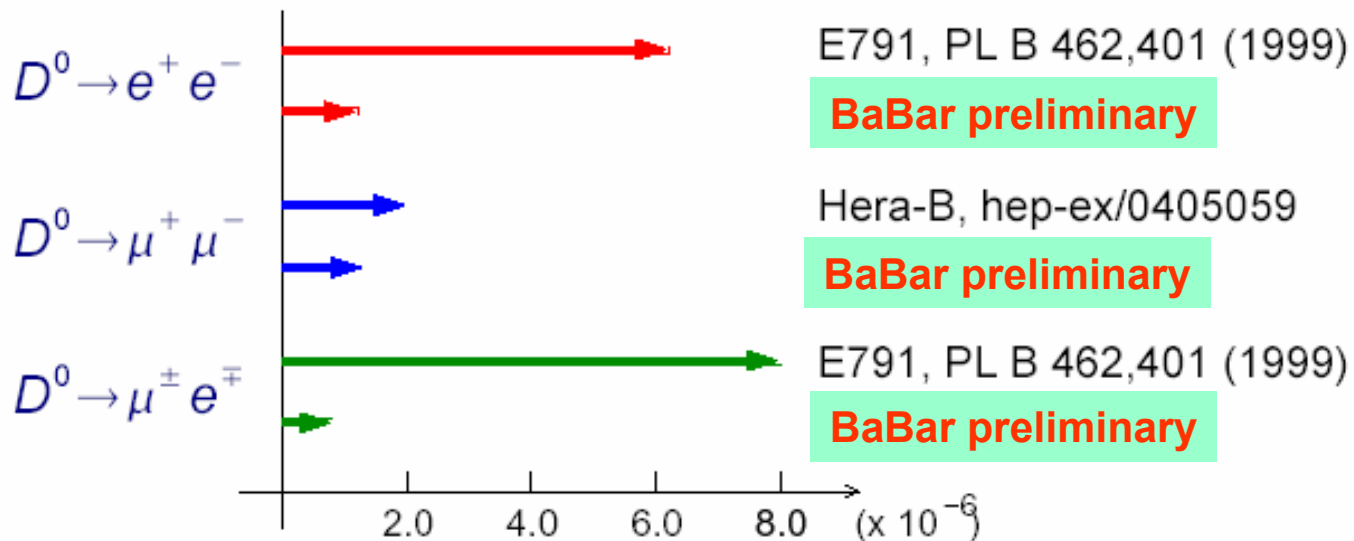
limited statistics in the mass side band

Include the systematic uncertainties  
in the upper limit calculation:  
PRD 67, 012002 (2003)



# Results

	$D^0 \rightarrow e^+ e^-$	$D^0 \rightarrow \mu^+ \mu^-$	$D^0 \rightarrow e^\pm \mu^\mp$	
$N_{\text{bg}}^{hh}$	0.02	$3.34 \pm 0.31$	0.21	<b>BaBar preliminary</b>
$N_{\text{bg}}^{\text{comb}}$	$2.21 \pm 0.38$	$1.28 \pm 0.32$	$1.93 \pm 0.36$	
$N_{\text{bg}}$	$2.23 \pm 0.38$	$4.63 \pm 0.45$	$2.14 \pm 0.36$	
$S [10^{-7}]$	$2.25 \pm 0.12$	$4.53 \pm 0.30$	$3.27 \pm 0.20$	
$N_{\text{obs}}$	3	1	0	
UL obtained	$1.2 \times 10^{-6}$	$1.3 \times 10^{-6}$	$8.1 \times 10^{-7}$	@ 90% CL





# Conclusions

- The results of a search for FCNC and LFV  $D^0 \rightarrow ll$  decays have been shown.
- No signals are observed.
- New 90% CL upper limits have been obtained:  
ee mode:  $1.2 \times 10^{-6}$   $\mu\mu$  mode:  $1.3 \times 10^{-6}$   $e\mu$  mode:  $0.81 \times 10^{-6}$
- Our results reduce the present upper limits by factors of 2-10.
- Paper: hep-ex/0408023 (submitted to PRL).