

Recent  
Developments  
in  
AdS/QCD

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Late 1960s: String Theory born from hadronic data.

Regge spectrum  $M^2 \propto J$

$s/t$ -channel duality

Regge behavior in small-angle scattering

**Problem:** power-law in large-angle scattering

**Problem:** Bjorken scaling

1973: Asymptotic Freedom (re)discovered.

QCD hailed as theory of strong interactions.

String Theory abandoned...

1974: String Theory reborn as theory of gravity.

etc.,etc.

**Question:** Why did string theory work at all in context of hadronic physics?

- Confinement: confining flux tubes act like strings.

*Hard to be precise without understanding of confinement.*

- 't Hooft: Sum over Feynman diagrams (expansion in  $g$ ) actually expansion in  $\lambda = g^2 N$  and  $1/N$

*$1/N$  expansion = sum over string-like diagrams*

*i.e., like string pert. thy. in coupling  $1/N$*

- Wilson  $\rightarrow$  Polyakov: Wilson loops are natural variables in gauge theory, like boundaries of strings.

*Maybe 5d string theory = 4d gauge theory?*

1997: Maldacena:

## Gauge/String Correspondence

Large classes of four-dimensional gauge theories are **quantum-mechanically equivalent** to ten-dimensional string theories on a space of which five dimensions are compact, five are non-compact.

5d noncompact = 4d Minkowski space plus  
an extra 'radial' coordinate  $r$ .

General Relation:

Maldacena; Witten; Gubser, Klebanov, Polyakov

Gauge Theory

Gravity Theory

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Ultraviolet  $\mu \rightarrow \infty$

Top  $r \rightarrow \infty$

Objects tend to *grow*

↑

↑

Objects tend to *fall*

$\mu$

$r$

Infrared  $\mu \rightarrow 0$

Bottom  $r \rightarrow 0$

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# 1997: Conformal Theories

## Gauge Theory

## Gravity Theory

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Ultraviolet  $\mu \rightarrow \infty$

Top  $r \rightarrow \infty$

Scale – Invariant

Anti de Sitter space

Objects *grow forever*  $\mu$   $\uparrow$   $\uparrow$   $r$  Objects *fall forever*

Infrared  $\mu \rightarrow 0$

Horizon  $r \rightarrow 0$

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1998/2000: Confining Theories

Witten; Rey et al.

Polchinski+MJS; Klebanov+MJS

## Gauge Theory

## Gravity Theory

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Ultraviolet  $\mu \rightarrow \infty$

Top  $r \rightarrow \infty$

Asympt. Scale – Invt.

Asympt. AdS

Objects grow

Objects fall

to size  $\Lambda^{-1}$

to floor

Confinement  $\mu = \Lambda$

Floor  $r = r_{min}$

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Hadrons:

Gauge Theory

Gravity Theory

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Ultraviolet  $\mu \rightarrow \infty$

Top  $r \rightarrow \infty$

Hadrons

(mass splitting  $\Lambda$ )

Cavity Modes

(wavelength  $\sim r_{min}$ )

Confinement  $\mu = \Lambda$

Floor  $r = r_{min}$

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Matching of parameters:

## Gauge Theory

## Gravity Theory

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Ultraviolet  $\mu \rightarrow \infty$

Top  $r \rightarrow \infty$

$$\lambda = g^2 N$$

$N$

$$R_{AdS}/\ell_s \propto \lambda^{1/4}$$

$$g_s = g^2 = \lambda/N$$

Confinement  $\mu = \Lambda$

Floor  $r = r_{min}$

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Perturbative?

Gauge Theory if  $\lambda \ll 1$ , String if  $\lambda \gg 1$ .

## Gauge Theory

## Gravity Theory

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Ultraviolet  $\mu \rightarrow \infty$

Top  $r \rightarrow \infty$

Pert. :  $\lambda \ll 1 \ll N$

Pert. :  $1 \ll \lambda \ll N$

$$\lambda = g^2 N$$

$$R_{AdS}/\ell_s \propto \lambda^{1/4}$$

$N$

$$g_s = g^2 = \lambda/N$$

Confinement  $\mu = \Lambda$

Floor  $r = r_{min}$

---

QCD:  $\lambda \ll 1$  in UV, runs to  $\sim 1$  in IR.

*String Theory never perturbative! Curvature large!*

## Gauge Theory

## Gravity Theory

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Floor  $r = r_{min}$

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**So What Good Is This?**

Theories with large  $\lambda$  are not QCD —

Cannot be used for numerical comparison with QCD

— *but*

- Unlike toy models of confinement, lattice gauge theory, they have correct kinematics  
(continuum 3+1 dims., UV scale-invt, IR discrete spectrum)
- They can be used to study aspects of nonperturbative physics involving physics on the light-cone, unstable hadrons, scattering and other dynamics — all largely inaccessible to weak-coupling methods, lattice theory
- The spectrum, form factors, etc. of low-spin hadrons are easily calculated.

In short, these are excellent *toy models* for QCD.

As with all toy models, care must be used in applying them and in extracting lessons.

- We do not expect to extract numbers for comparison with experiment.
- We expect the toy models will not always match QCD even qualitatively.
- But they may provide concrete insights into the workings of nonperturbative QCD and suggest new techniques applicable to QCD.

*Blind calculation leads to useless information.*

Must

- Understand where toy models differ from QCD to such a degree that their physics is qualitatively different and conclusions about QCD cannot be drawn.
- Understand where toy models and QCD share something essential, perhaps even universal to all gauge theories, or all confining gauge theories; here lessons about QCD might be obtained.

**This process is well underway and I will tell you something of what we now know.**

Aside:

These theories are not *merely* toy models for QCD!!

They are perfectly sensible four-dimensional field theories (with an equivalent description as a string theory.)

Models of these type can be *concrete* realizations of Randall-Sundrum compactifications and may show up at LHC and beyond.

Thus, they merit study in their own right.

Question: Consider high-energy  $E \gg \Lambda$   
fixed-large-angle  $2 \rightarrow 2$  exclusive hadron scattering.

Ordinary QCD-like theory:  $d\sigma/dt \sim E^{-n}$

String in flat 10-dimensions:  $d\sigma/dt \sim e^{-E^2}$

For large- $\lambda$  models = string theory on curved space  
[asymptotically AdS], which one applies?

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QCD-like power law!!!

Polchinski+MJS

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String in flat 10-dimensions:  $d\sigma/dt \sim e^{-E^2}$  ✗

Power law at all  $\lambda$ ! Even though stringy.

*Follows from conformal field theory and factorization.*

So here toy models match QCD, for a combination of kinematic, dynamical reasons.

Question: Consider deep inelastic scattering at high  $Q^2$ , moderate  $x$ .

Ordinary QCD-like theory: Bjorken scaling; hard scattering off valence partons.

String in flat 10-dimensions: Strings are soft, no hard scattering, no partons.

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For large- $\lambda$  models = string theory on curved space [asymptotically AdS], which one applies?

**Neither! Both!**

Polchinski+MJS

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Ordinary QCD-like theory: Bjorken scaling; hard scattering off valence partons.

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For large- $\lambda$  models = string theory on curved space [asymptotically AdS], which one applies?

- DGLAP evolution (parton splitting) *rapid* at  $\lambda \gg 1$ : valence partons degrade, pdfs nonzero only for  $x \gg 1$  even for moderate  $Q^2$ , no hard scattering off partons.

## Lessons so far

- Aspects of QCD which follow from ultraviolet conformal invariance will also be true of large- $\lambda$  gauge theories.
- Aspects of QCD which follow from properties of valence partons will not be true at large- $\lambda$ , where “hadrons are all sea, partons are all wee.”

Let's refine the last one...

Let's consider just one p.d.f.  $f(x, Q^2)$ .

Parton Model:  $f(x, Q^2) = f(x)$

QCD:  $f(x, Q^2) = f(x, Q_0^2) + \text{order} [\lambda \log(Q/Q_0)]$

More precisely...

$$M_n(Q^2) = \int_0^1 dx x^{n-1} f(x, Q^2)$$

$$\frac{dM_n}{dQ^2} = -\gamma_n(\alpha[Q^2]) M_n$$

where  $\gamma_n$  is the anomalous dimension of a twist-two operator of dimension  $n + 2$  and spin  $n$ , in QCD

$$\mathcal{O}_n = \bar{q} \gamma \partial \partial \dots \partial q$$

$$\mathcal{O}_2 = T^{\mu\nu}, \quad \gamma_2 = 0 \text{ (sum rule)}$$

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$$\lambda \ll 1 \Rightarrow 0 = \gamma_2 < \gamma_n \sim \lambda$$

(violations of Bjorken scaling are small in QCD, cause pdfs to move *slowly* to smaller  $x$ )

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$$\lambda \ll 1 \Rightarrow 0 = \gamma_2 < \gamma_n \sim \lambda \ll 1$$

$$\lambda \gg 1 \Rightarrow 0 = \gamma_2 < 1 \ll \gamma_n \sim \lambda^{1/4}$$

(violations of Bjorken scaling are large if  $\lambda \gg 1$ , cause pdfs to move *rapidly* to small  $x$ )

$$M_n(Q^2) = \int_0^1 dx x^{n-1} f(x, Q^2)$$

$$\frac{dM_n}{dQ^2} = -\gamma_n(\lambda[Q^2]) M_n$$

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(Crossover at  $\lambda \sim 1$ )

$$M_n(Q^2) = \int_0^1 dx x^{n-1} f(x, Q^2)$$

$$\frac{dM_n}{dQ^2} = -\gamma_n(\lambda[Q^2]) M_n$$

Nota Bene: For *all*  $\lambda$ ,  $0 = \gamma_2 < \gamma_n$ .

This implies: *as*  $Q^2 \rightarrow \infty$ , *all partons become “wee”!!*

This large- $Q^2$  limit is well-known in QCD...

*The large- $\lambda$  theories just get there faster!*

Qualitatively different, but not unfamiliar either.

Let's consider just one p.d.f.  $f(x, Q^2)$ .

Parton Model:  $f(x, Q^2) = f(x)$

QCD:  $f(x, Q^2) = f(x, Q_0^2) + \text{order} [\lambda \log(Q/Q_0)]$

Except at  $x \ll 1$ ,  $f(x, Q^2)$  tiny for  $Q^2 \gg \gg \gg \gg \Lambda_{QCD}^2$

Large  $\lambda$ :  $f(x, Q^2) = f(x, Q_0^2) + \text{order} [(Q/Q_0)^{\lambda^{1/4}}]$

Except at  $x \ll 1$ ,  $f(x, Q^2)$  tiny for  $Q^2 \gg \Lambda^2$

## What are some implications?

- High- $Q^2$  behavior of a form factor: for large class of hadrons, get similar power law, similar coefficient, for any  $\lambda$ .
- Drell-Yan: QCD gives many high-energy muon pairs in  $p\bar{p}$  collisions, large- $\lambda$  theories give none.
- Expect no high-energy central jets in  $p\bar{p}$  collisions at large  $\lambda$ .

*Does this mean we cannot study jets or Drell-Yan?*

Not necessarily. It does mean we have to ask the right question in order to get the correct insights or useful information.

## Electromagnetic Form Factors of Hadrons

Probe hadron  $|H\rangle$  using a  $U(1)$  current:

$$\langle H | J_{em}^\mu(q) | H \rangle \Rightarrow F(q^2)$$

Calculation in gravity theory yields:

Polchinski+Susskind; Hong,Yoon, MJS

$$F(q^2) \rightarrow 1 \quad \text{as } q^2 \rightarrow 0 \quad (\text{Charge Conservation})$$

$$F(q^2) \rightarrow q^{-2\Delta+2} \quad \text{as } q^2 \rightarrow \infty \quad (\text{Conformal Symmetry})$$

$$F(q^2) = \sum_n \frac{f_n g_{nHH}}{q^2 + m_n^2} \quad (\text{Large } N)$$

Son+Stephanov

$$F(q^2) = \sum_n \frac{f_n g_{nHH}}{q^2 + m_n^2} \quad (\text{Large } N)$$

where the spin-one hadrons  $|n\rangle$  are created by the current

$$J_\mu|0\rangle = [q^2 g_{\mu\nu} - q_\mu q_\nu] f_n |n, \mu\rangle$$

and  $g_{nHH}$  is the coupling of these hadrons to  $H$ .

In QCD it is often approximated

$$F_\pi(q^2) \approx \frac{f_\rho g_{\rho\pi\pi}}{q^2 + m_\rho^2}$$

from which it follows

$$g_{\rho\pi\pi} \approx \frac{m_\rho^2}{f_\rho}$$

$$F(q^2) = \sum_n \frac{f_n g_{nHH}}{q^2 + m_n^2}$$

Curiously, in nature  $g_{\rho\pi\pi} \approx g_{\rho NN}$ .

“Vector–” or “ $\rho$ –dominance” conjecture:

$$F_H(q^2) \approx \frac{f_\rho g_{\rho HH}}{q^2 + m_\rho^2} + \dots$$

for any  $|H\rangle$  at small  $q^2$ .

(Dots indicate contributions of  $\rho', \rho'', \dots$ )

Since  $F(0) = 1$ , it follows that

$$g_{\rho HH} \approx \frac{m_\rho^2}{f_\rho}$$

the  $\rho$ 's diagonal couplings are universal

*i.e.*, independent of  $H$

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Sakurai: universality —  $\rho$  as a gauge boson...?

In AdS/QCD, the entire *set* of spin-one mesons,  $\rho, \rho', \rho'', \dots$ , are cavity-modes of a *five-dimensional* gauge boson.

Question: Is  $\rho$ -dominance  $\Rightarrow$   $\rho$ -universality true in AdS/QCD?

$\rho$ -dominance  $\times$  not generically true

but despite this,

$\rho$ -universality  $\checkmark$  approximately true, to order 1

Hong, Yoon, MJS

**Why?**

Quasi-universality does *not* follow from conformal invariance or kinematics.

Follows from structure of calculation in gravity theory.

- $\rho$ -universality is generically approximately true for all large- $\lambda$  theories.
- $\rho$  coupling is generically close to special value  $m_\rho^2/f_\rho$ .
- Proof uses fact that  $\rho$  is lightest state created by conserved current (lowest cavity mode of gauge boson.)
- Only other state with quasi-universal couplings is the lowest-lying spin-two glueball (created by  $T^{\mu\nu}$ )
- *Apparent*  $\rho$ -dominance follows [in particular, fit to  $\rho$  pole will work well] even when  $\rho$ -dominance [other poles negligible] is false.

Proof uses fact that it is lightest state created by conserved current (lowest cavity mode of gauge boson.)

**Can this be translated into a QCD ‘Proof’ valid at all  $\lambda$ ?**

*Apparent*  $\rho$ -dominance follows [in particular, fit to  $\rho$  pole will work well] even when  $\rho$ -dominance [other poles negligible] is false.

**Does this explain the data?**

This appears to be a bit of universal physics — something true of QCD that is also true of other very different theories, but which does not follow from any known theorems.

## Possible universal features of gauge theories:

- Anything following from ultraviolet conformal invariance.
- Approximate universality of  $\rho$  couplings.

Any others?

- Regge phenomena in scattering and DIS
- Regge spectrum of high-spin states
- Anomalous dimensions of high-spin operators

Question: Consider high-energy  $E \gg \Lambda$   
fixed-large-angle  $2 \rightarrow 2$  exclusive hadron scattering.

Ordinary QCD-like theory:  $d\sigma/dt \sim E^{-n}$  ✓

String in flat 10-dimensions:  $d\sigma/dt \sim e^{-E^2}$  ✗

Power law at all  $\lambda$ ! Even though stringy.

Question: Consider high-energy  $E \gg \Lambda$  fixed  
*small*-angle  $2 \rightarrow 2$  exclusive hadron scattering.

Ordinary QCD-like theory: no prediction.

perturbative ‘Pomeron’?

String in flat 10-dimensions:  $d\sigma/dt \sim s^t \sim E^{-E^2\theta^2}$

Question: Consider high-energy  $E \gg \Lambda$  fixed *small-angle*  $2 \rightarrow 2$  exclusive hadron scattering.

Ordinary QCD-like theory: no prediction?

perturbative ‘Pomeron’?

String in flat 10-dimensions:  $d\sigma/dt \sim s^t \sim E^{-E^2\theta^2}$

Data: agrees with string result for small  $|t|$ ,  
complicated otherwise.

Large- $\lambda$  theory: agrees with string for small  $|t|$ ,  
predicts power-law fall-off otherwise.

**So Regge behavior apparently true at all  $\lambda$ .**

What about small- $x$  physics in DIS?

Perturbative ‘hard Pomeron’ predicts

$$f(x, Q^2) \sim x^{1-\alpha_0}$$

where  $\alpha_0 \sim 1 + 4\lambda/\pi$  is the hard Pomeron “intercept”.

Large- $\lambda$  theory predicts

$$f(x, Q^2) \sim x^{1-\alpha_0} Q^{-2\Delta+2}$$

where  $\alpha_0 = 2 - \text{order}(\lambda^{-1/2})$  is the corresponding intercept of the “graviton trajectory”,  $\Delta$  is the dimension of the operator creating the hadron.

Can we understand similarity/difference?

$$f(x, Q^2) \sim x^{1-\alpha_0} Q^{-2\Delta+2}$$

- QCD:  $\alpha_0 \sim 1 + 4\lambda/\pi$ ,  $\Delta = 1$
- $\lambda \gg 1$ :  $\alpha_0 = 2 - \text{order}(\lambda^{-1/2})$ ,  $\Delta = \dim \mathcal{O}_H$   
 where  $\mathcal{O}|0\rangle = |H\rangle$

Pomeron is graviton trajectory, gives  $1 - \alpha_0$  for any  $\lambda$ .

$\alpha_0$  depends on  $\lambda$ , varies from 1 to 2.

$Q^{-2\Delta+2}$ ?

$\lambda \ll 1$ , Pomeron strikes valence partons ( $\Delta = 1$ );

$\lambda \gg 1$ , no partons except at *ultra*-small  $x$ , so  
 Pomeron strikes entire hadron.

So, properly interpreting the nonuniversal parts of the calculation, we see there is a universal core.

Similarly, if we consider the leading twist-two operators of spin  $n$ , dimension  $n + 2$  GKP, Kruczenski

$$\bar{q}\gamma\partial\partial\dots\partial q$$

and their anomalous dimensions  $\gamma_n$  as  $n \rightarrow \infty$ , we find

$$\gamma_n \sim f(\lambda) \log n$$

where the function is not universal

$$f(\lambda) \sim \lambda \quad (\lambda \ll 1 \ll n)$$

$$f(\lambda) \sim \sqrt{\lambda} \quad (n \gg \lambda \gg 1)$$

but apparently the  $\log n$  behavior is universal.

*Where is this leading?*

- There are many unanswered questions about nonperturbative QCD beyond the reach of the lattice, other methods.
- Our understanding of the large- $\lambda$  models is gradually deepening.

Exclusive hard scattering (power laws and Regge physics)

Deep inelastic scattering (wee partons and small- $x$  physics)

Form factors and hadron couplings

*I have omitted a number of other developments for lack of time.*

(Sum rules, finite temperature, chiral symmetry breaking)

- We are approaching the point where we can ask intelligent questions in ways such that the answers may realistically be useful, or at least instructive.
- The situation is becoming ripe for advances.

But:

- To fully study QCD we must understand  $\lambda \sim 1$  gauge theories = string theory on strongly curved spaces.
- Here the progress has been very limited; a new breakthrough is needed.

Stay Tuned ...

2003, Karch/Katz:  $N \rightarrow \infty$ ,  $N_f$  finite simple.

## Gauge Theory

## Gravity Theory

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Ultraviolet  $\mu \rightarrow \infty$

Top  $r \rightarrow \infty$

Asympt. Scale – Invt.

Asympt. AdS

Quark of mass  $m$

Defect  $r \geq (m/\Lambda)r_{min}$

Confinement  $\mu = \Lambda$

Floor  $r = r_{min}$

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Quarkonium:

Quark-antiquark bound states (low spin)